

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Allowed: October 22, 2004

MATSUURA, Syuuji

Atty. Ref.: 914-142; Confirmation No. 9557

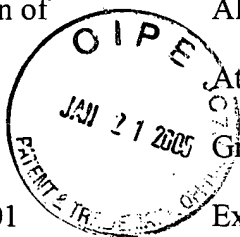
Appl. No. 09/975,997

Group: 2614

Filed: October 15, 2001

Examiner: V. Kostak

For: REDUCED NOISE DIGITAL TUNER



\* \* \* \* \*

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

January 21, 2005

Sir:

**RESUBMISSION OF INFORMATION DISCLOSURE STATEMENT**

Applicants respectfully request that the U.S. Patent and Trademark Office consider the documents identified in the Information Disclosure Statement previously filed on November 10, 2004. A copy of the Information Disclosure Statement is enclosed, along with a copy of a postcard stamped to evidence receipt of the Information Disclosure Statement by the U.S. Patent and Trademark Office on November 10, 2004.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By:

A handwritten signature in cursive script, appearing to read "Michael J. Shea".

Michael J. Shea  
Reg. No. 34,725

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of  
MATSUURA, Syuuji

Serial No. 09/975,997

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Atty. Ref.: 914-142

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\* \* \* \* \*

November 10, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

Applicants have checked the appropriate boxes below.

1. ☐ This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No statement under 37 C.F.R. § 1.97(e) or fee is required. In the event, a first Office Action has been mailed prior to filing of the present Information Disclosure Statement, the Office is requested to treat the present paper as a submission under 37 C.F.R. § 1.97(c) and charge the undersigned's Deposit Account No. 14-1140 for the fee required by 37 C.F.R. § 1.17(p). The present paper is submitted in duplicate for this purpose.

2. ☐ This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

a. ☐ I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

b. ☐ I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

c. ☐ Attached is our Check No. in the amount of \$ in payment of the fee under 37 C.F.R. § 1.17(p).

3. ☒ This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered. A Check for \$180.00 in payment of the fee under 37 C.F.R. § 1.17(i) is attached.

- a. ☒ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- b. ☐ I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
4. ☐ Relevance of the non-English language document(s) is discussed in the present specification.
5. ☒ The document(s) was/were cited in an office action in a corresponding foreign application JP 2000-346262. A copy of the office action and an English-language version thereof prepared by Applicant's Japanese representative are attached for the Examiner's convenient reference. U.S. Patent No. 6,160,572 is a counterpart to JP 10-304261 and is enclosed for the Examiner's convenient reference.
6. ☐ A concise explanation of the relevance of the non-English language document(s) appears below:
7. ☐ The Examiner's attention is directed to co-pending U.S. Patent Application No. , filed , (copy attached) which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination.
8. ☐ Copies of the documents were cited by or submitted to the Office in Application No. , filed , which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

MATSUURA, Syuuji  
Serial No. 09/975,997

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 14-1140 referencing docket number 914-142.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By:



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Reg. No. 34,725

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Serial No.: 09/975,997      C#/M#: 914-142  
Inventor/s: MATSUURA, Syuuji      Atty: Michael J. Shea  
Title: REDUCED NOISE DIGITAL TUNER      Date: Nov. 10, 04

☒ **Information Disclosure Statement  
w/ 8 attachments and PTO-1449 form**

**\$180.00 Total Fee**

**Other:**



[illegible]

							TRANSLATION	
DOCUMENT			DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
		07-007687	1/1995	Japan			ABSTRACT	
		10-304261	11/1998	Japan			ABSTRACT	
		11-284537	10/1999	Japan			ABSTRACT	
		2000-152104	5/2000	Japan			ABSTRACT	
		2000-152105	5/2000	Japan			ABSTRACT	
		2000-307456	11/2000	Japan			ABSTRACT	

[illegible]

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.